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# Reduced-Order Modeling and Dynamics of Nonlinear Acoustic Waves in a Combustion Chamber

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## Abstract

For understanding the fundamental properties of unsteady motions in combustion chambers, and for applications of active feedback control, reduced-order models occupy a uniquely important position. A framework exists for transforming the representation of general behavior by a set of infinite-dimensional partial differential equations to a finite set of nonlinear second-order ordinary differential equations in time. The procedure rests on an expansion of the

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pressure and velocity fields in modal or basis functions, followed by spatial averaging to give the set of second-order equations in time. Nonlinear gasdynamics is accounted for explicitly, but all other contributing processes require modeling. Reduced-order models of the global behavior of the chamber dynamics, most importantly of the pressure, are obtained simply by truncating the modal expansion to the desired number of terms. Central to the procedures is a criterion for deciding how many modes must be retained to give accurate results. Addressing that problem is the principal purpose of this paper. Our analysis shows that, in case of longitudinal modes, a first mode instability problem requires a minimum of four modes in the modal truncation whereas, for a second mode instability, one needs to retain at least the first eight modes. A second important problem concerns the conditions under which a linearly stable system becomes unstable to sufficiently large disturbances. Previous work has given a partial answer, suggesting that nonlinear gasdynamics alone cannot produce pulsed or ‘triggered’ true nonlinear instabilities; that suggestion is now theoretically established. Also, a certain form of the nonlinear energy addition by combustion processes is known to lead to stable limit cycles in a linearly stable system. A second form of nonlinear combustion dynamics with a new velocity coupling function that naturally displays a threshold character is shown here also to produce triggered limit cycle behavior.

## 1 Introduction and Background

Almost since the initial operations of high-performance combustion chambers, combustion instabilities have been observed. Occasionally the oscillations do not pose difficulties for practical operations, but in many cases the amplitudes of the pressure fluctuations are sufficiently high to be unacceptable. Some means must therefore be introduced to eliminate the instabilities or at least limit the amplitudes to acceptable levels. There seem to be four general circumstances under which combustion instabilities occur in practical systems:

1. Sufficiently high densities of combustion energy release such that the system is linearly unstable;
2. Introduction of pulses causing a linearly stable system to become unstable;
3. Operation near the lean blowout limit; and
4. Unstable mean flow field or geometrical configurations favorable to shedding large vortices.

In all cases when an unstable oscillation reaches a limit state characterized by a constant or slightly variable amplitude, some sort of nonlinear process is involved. Hence, both as part of the theory of the instabilities and as an important practical matter, it is essential to understand nonlinear behavior.

The case (4) listed above has been observed in large solid propellant rockets (notably the solid booster motors for the Shuttle vehicle and Ariane 5 launch vehicle) and in some ramjets. Case (3) has been commonly observed in contemporary gas turbine combustors designed to operate near the lean blowout limit as part of the strategy to reduce production of oxides of nitrogen. Case (2), introduction of pulses, has long been used in both Russia and in the West as part of the procedure for determining the stability margin of a liquid propellant rocket engine [1]. This method of testing explicitly poses the problem of pulsed instabilities. As a practical matter, the main issues concern determining the process responsible for a true nonlinear instability; and defining what shapes of the injected pulses should give best results. Pulse-testing of solid rockets has not been used as widely as for liquid rockets, but some remarkable success has been shown for comparisons between experimental and numerical results [2, 3].

## 1.1 Analytical Framework

All of those problems of nonlinear behavior can be investigated within a framework constructed over many years by Culick and his colleagues [4]-[13]. Details are given

in the references and in a course of lectures available on the web [14]. In summary, the formal part of the analysis comprises the following steps:

1. Based on experimental observations and on the general analysis of small disturbances in a compressible flow by Chu and Kovasznay [15], attention is focused on the propagation of acoustic (pressure) waves in a combustion chamber. The influences of combustion, mean flow, and other processes characterizing a combustion chamber are treated as relatively small effects of the order of a reference value of the average Mach number,  $\overline{M}_r$ , denoted  $\mu$  for simpler writing. Nonlinear behavior is characterized by a reference value of the Mach number  $M'_r$  of the oscillations, denoted  $\epsilon$ .
2. The general equations of motion are expanded in the two small parameters  $\mu$  and  $\epsilon$  and rearranged with only terms of order  $\epsilon$  on the left-hand sides. In the limit  $\mu, \epsilon \rightarrow 0$ , all perturbations on the right-hand sides vanish and the left-hand sides become the equations describing classical acoustics.
3. In zeroth approximation, the pressure and velocity fields are expanded in infinite series of basis functions normally chosen to be the unperturbed acoustic modes with time-varying amplitudes to be determined. The actual perturbed forms of the unsteady field are computed as part of the iterative procedure, step 5).
4. After substitution of the expansions for the fluctuating field, the equations of motion are spatially averaged with one of the basis functions as weighting function. The result is an infinite set of coupled nonlinear ordinary differential equations for the time-varying modal amplitudes. The right-hand sides contain terms of order  $\mu$  (i.e.,  $\overline{M}_r$ ) only, in the current form of the analysis, and nonlinear terms in the amplitude of oscillation, of second and third order in  $\epsilon$ .
5. The left-hand sides of the equations define the unperturbed motions of a collection of simple oscillators (see Eq. (1) below). All perturbing processes are represented by terms on the right-hand sides. With  $\mu$  the ordering parameter,

the equations are solved iteratively for the amplitudes. To find the distortions (of order  $\mu$ ) of the mode shapes, it is necessary to carry out simple ancillary calculations using the partial differential equations written to order  $\mu$  and  $\epsilon$ .

The last step has normally not been carried out because only the stability and time evolution of the pressure field have been required. However, in the past few years, some important results have been obtained by Flandro and others [16]-[18] on the influence of unsteady waves generated at the burning surfaces in solid propellant rockets. Under those conditions, the unsteady velocity field is rotational, apparently in contradiction to the choice of basis or modal functions representing an irrotational acoustic. In fact, the method described here does produce, as it should, a rotational velocity when appropriate corrections to order  $\mu$  are accounted for. It is an important point about which there has been much confusion and misunderstanding, leading to incorrect statements that the procedure 1) - 5) is incapable of accommodating unsteady rotational motions [18]-[20].

By construction, in the first instance, this analytical framework places emphasis on fluid mechanics. That intention is based on observations of combustion instabilities and is a reasonable approach: only the contributions from fluid mechanics are precisely known. All other processes in a combustor must be modeled, carrying approximations and uncertainties not always known well. The implication in applications is that computations are carried as far as possible assuming that fluid mechanics dominates the behavior. If observational results are not well reproduced, then other processes must be included. For most cases of combustion instabilities or thermoacoustic phenomena, the most significant influences, both linear and nonlinear, are related to combustion processes or heat exchange with unsteady motions. An example is discussed here in Sections 3 and 4 where a form of nonlinear combustion is proposed as a necessary part of the explanation for the existence of nonlinear instabilities. Nonlinear gasdynamics alone cannot account for that phenomenon, a result established previously [21].

## 1.2 Triggered Instabilities and Reduced-Order Models

The problem of predicting ‘triggered’ (nonlinear) instabilities has a long history. Prompted by observations of triggering, and the use of pulsed tests to assess stabilities, the Princeton group under Crocco were first to analyze the phenomenon [22]-[24]. Those works showed first the possibilities of limit cycles in linearly unstable systems; and pulsed instabilities in linearly stable systems. However, the analyses were not developed or applied beyond their initial appearances, chiefly it seems for two reasons: the methods used were based on approximate solutions to the differential equations and are cumbersome to use; and in all those works the time delay ( $n - \tau$ ) model was used to represent the combustor processes. It has long been known (for example, see [25]) that for the combustion dynamics of solid propellants the time delay is necessarily a strong function of frequency. In the absence of prior knowledge of the explicit dependence of  $\tau$  on the frequency, if the time delay is assumed to be independent of frequency, then the model carries a serious, if not fatal, restriction.

Beginning in the late 1960’s, Powell and Zinn [26, 27] introduced an interesting extension to Galerkin’s method for investigation of combustion instabilities in liquid propellant rockets. In its basic form, the method is virtually equivalent to the method of spatial averaging used here [14, 28, 29]. However, the details of the computational procedure differ considerably. In particular, the  $n - \tau$  model of combustion dynamics is used, and the ordering in terms of the small parameters (here denoted  $\mu$  and  $\epsilon$ ) seems not to have been consistently respected. Moreover, in much of that work, forms of velocity potentials were used, excluding the important possibilities for rotational unsteady fields. Hence the generality of the procedure and the results <sup>are</sup> is seriously compromised.

Owing chiefly to the ways in which results are found and presented in the references [22]-[24] and [26, 27], it is difficult to determine whether the limit cycles reported are stable or unstable. In contrast, the present analytical framework is uniquely suited for computational stability analysis of the limit cycle solutions. The remainder of this

paper is based entirely on the analytical framework described above.

As a practical matter, the expansion in basis functions must be truncated to a finite number of terms. Errors necessarily incurred by truncation have been assessed in earlier work, particularly in [18], but no useful guidelines have been found previously to those given here. That matter is really part of the more general problem of determining the conditions under which pulsed instabilities may exist. The second purpose of this paper is to address that question by examining a particular form of nonlinear combustion dynamics. Both subjects form part of the foundation for constructing reduced-order models of combustion instabilities.

### 1.2.1 Review of Recent Work

Culick and Yang [10] reported a numerically computed solution for the acoustic oscillations with a five-mode truncation of the approximate equations that was found to compare reasonably with a more exact numerical solution. Conditions for the existence and stability of the limit cycle oscillations, and the qualitative dependence of the limit cycles on the system parameters, for the case of two longitudinal acoustic modes, were reported by Awad and Culick [7], and by Paparizos and Culick [8]. However, none of these studies were able to demonstrate triggered instability. It seemed, but was not conclusively established, that the approximate analysis with nonlinear, second-order gasdynamics alone was not capable of showing triggered instability. Extensions of the formulation to include third order gasdynamic nonlinearities and higher order interactions between the mean flow and the acoustics were equally unsuccessful in capturing triggered instability [9], in contrast to earlier results reported by Zinn [24]. The difference between the two conclusions remains unexplained. Nonlinear combustion models then remained the most attractive candidate to represent triggered instability within the framework of the approximate analysis. Unsteady combustion in solid propellant rockets had already been described in terms of pressure and velocity coupling models [30]. Numerical studies by Levine and Baum [31]

with an ad hoc velocity coupling model showed that triggered instabilities could indeed be found when nonlinear combustion processes were accounted for. However, the form of the velocity coupling function was purely ad hoc and it was difficult to judge its validity or uniqueness. Also, it was not easy to arrive at qualitative conclusions regarding the conditions for onset of triggered instability from numerical simulations alone.

A significant step forward in the investigations came with the introduction of the methods of modern dynamical systems theory by Jahnke and Culick [13] to the analysis of nonlinear combustion instabilities. A continuation algorithm allowed systematic and efficient computation of all steady state and limit cycle solutions over a range of parameter values. Stability of each steady state and limit cycle solution could be numerically established, and points of onset of instability could be identified with bifurcations. The qualitative behavior of the acoustic waves at the onset of combustion instability then depends on the type of bifurcation, and on the nature of the limit cycles that emerge at the bifurcation point. This information is usually represented in the form of a plot of steady state values (peak amplitude in case of a limit cycle) against a suitable parameter in a bifurcation diagram. For longitudinal acoustic modes in a combustion chamber of uniform cross section, Jahnke and Culick [13] showed that results from a two-mode approximation were qualitatively dissimilar to those from a four- or six-mode approximation. However, they could draw no conclusion about the number of acoustic modes that need to be retained in their analysis, nor did their computations with second-order gasdynamics alone display triggered limit cycles. Culick et al. [32] extended the work in [13] by including the nonlinear combustion model of Levine and Baum [31] in addition to the second-order gasdynamic nonlinearities. They confirmed that the nonlinear velocity coupling term in the Levine-Baum model did induce triggered limit cycles. However, their results with and without time-averaging showed significant discrepancies. Their studies also suggested that a four-mode approximation could satisfactorily capture the qualitative dynamics for the case of a first mode instability. Experiments by Ma et al. [33] have suggested



that the velocity coupling function has a threshold nature, i.e., there is a threshold value of the acoustic velocity below which the effects of nonlinear combustion are not felt. In a recent paper, using a four-mode truncation and an ad hoc threshold velocity coupling model, Burnley and Culick [34] have computed a bifurcation diagram which shows triggered limit cycles. Additional results are available in the thesis by Burnley [21].

### 1.2.2 Present Study

Despite the impressive progress over the last three decades in modeling the nonlinear dynamics of acoustic waves in combustion chambers, many questions yet remain unanswered. For example, first of all, there is still no convincing argument for how many modes should be retained in a truncated model of the coupled oscillator equations in order to predict the qualitative dynamics of the acoustic modes correctly. Second, though widely believed, it has never been definitely established that the approximate analysis with second- or third-order gasdynamics alone could not show triggered instability. Third, the form of the velocity coupling function and, in particular, the need for a threshold character, has not been satisfactorily explained. These and such other questions have gained significance in the light of recent focus on active control of combustion instabilities [35]. Rather than restrict operation to safe, stable regions at the cost of decreased performance, future combustors intended to give higher performance will be operated closer to their stability boundary, thereby increasing the risk of encountering combustion instability. Strategies based on active control promise to provide a feasible solution to the problem of preventing instability in these combustors, but they are likely to depend heavily on nonlinear models that can successfully capture the qualitative features of the combustion system dynamics. The approximate formulation discussed here appears to provide a suitable framework for the development of active control laws for combustion instability, but there is a need to address questions such as those listed above before the framework can be

confidently applied to devise active combustion control strategies. Recent developments [36]-[38] in the use of bifurcation theory for the modeling of large-amplitude limit cycle oscillations have made it possible to seek answers to some questions of the qualitative dynamics of the acoustic waves at onset of combustion instability.

In the remainder of this paper, we first examine the coupled oscillator equations for the acoustic modal amplitudes. A careful study of the energy transfer between the acoustic modes provides a clue to the number of modes that need to be retained for a qualitatively correct analysis of the limit cycles at onset of combustion instability. The minimum order of the modal truncation for the first and second mode instability cases is determined, thereby resolving a longstanding issue in the modeling of acoustic waves in combustion chambers. Following this, two known mechanisms for triggered instability in coupled oscillator systems are briefly reviewed. With this knowledge, we then explain the lack of triggered limit cycles in the approximate formulation containing only second-order gasdynamic nonlinearities. This is a result that, though widely believed in the past, has been theoretically established here for the first time. Finally, nonlinear combustion mechanisms for triggering are studied. Observations by Culick et al. [32] that nonlinear pressure coupling does not lead to triggering are now explained. Velocity coupling models used in the past are evaluated and the need for a threshold velocity coupling function is critically examined. A new form of the velocity coupling function is derived that naturally shows a threshold character. The approach at all times is from the viewpoint of the qualitative theory of dynamical systems. However, numerical results are provided to illustrate the conclusions arrived at from the theory.

## 2 Coupled Oscillator Equations

The nonlinear dynamics of acoustic waves in a combustion chamber has been modeled by Culick [6] as a set of coupled second-order oscillators, one for each acoustic mode. For the case of longitudinal modes in a combustion chamber of uniform cross-section

as considered in [13, 32], the modal natural frequencies can be assumed to be integral multiples of the primary acoustic mode frequency. Then, the coupled oscillator equations, with time non-dimensionalized by the primary mode frequency, can be written as follows:

$$\ddot{\eta}_n - 2\hat{\alpha}_n \dot{\eta}_n + n(n - 2\hat{\theta}_n)\eta_n = - \sum_{i=1}^{n-1} \left( \hat{C}_{ni}^{(1)} \dot{\eta}_i \dot{\eta}_{n-i} + \hat{D}_{ni}^{(1)} \eta_i \eta_{n-i} \right) - \sum_{i=1}^{\infty} \left( \hat{C}_{ni}^{(2)} \dot{\eta}_i \dot{\eta}_{n+i} + \hat{D}_{ni}^{(2)} \eta_i \eta_{n+i} \right) \quad (1)$$

where  $\eta_n$  is the amplitude of the  $n^{th}$  acoustic mode. Equation (1) includes linear contributions from combustion processes, gas-particle interactions, boundary conditions, and interactions between the steady and unsteady flow fields. Additionally, Eq. (1) also includes contributions from nonlinear gasdynamics to second order as given by the quadratic terms on the right hand side, where the coefficients  $\hat{C}$ ,  $\hat{D}$  are as follows:

$$\begin{aligned} \hat{C}_{ni}^{(1)} &= \frac{-1}{2\gamma i(n-i)} [n^2 + i(n-i)(\gamma-1)] \\ \hat{C}_{ni}^{(2)} &= \frac{1}{\gamma i(n+i)} [n^2 - i(n+i)(\gamma-1)] \\ \hat{D}_{ni}^{(1)} &= \frac{\gamma-1}{4\gamma} [n^2 - 2i(n-i)] \\ \hat{D}_{ni}^{(2)} &= \frac{\gamma-1}{2\gamma} [n^2 + 2i(n+i)] \end{aligned}$$

where  $\gamma$  is the ratio of specific heats for gas/particle mixture. The parameters  $\hat{\alpha}_n$  and  $\hat{\theta}_n$  in Eq. (1) are defined as

$$\hat{\alpha}_n = \alpha_n/\omega_1, \quad \hat{\theta}_n = \theta_n/\omega_1$$

where  $\omega_1$  is the natural frequency of the first acoustic mode. Typical values for the linear growth rates,  $\alpha_n$ , and frequency shifts,  $\theta_n$ , for a cylindrical chamber of  $L/D = 11.8$  and first mode frequency,  $\omega_1 = 5660$  rad/s, taken from [6], are given in Table 1. It can be seen from Table 1 that the modes are generally lightly damped and have only small frequency shifts, which means that the shifted modal frequencies remain approximately integral multiples of the shifted primary mode frequency. It

Table 1: Data for parameters  $\alpha_n$  and  $\theta_n$ .

Mode	1	2	3	4	5	6
$\alpha_n$ , 1/s	Free	-324.8	-583.6	-889.4	-1262.7	-1500.0
$\theta_n$ , rad/s	12.9	46.8	-29.3	-131.0	-280.0	-300.0

may also be noticed that the oscillators in Eq. (1) are linearly uncoupled, but are coupled through the nonlinear gasdynamic terms. In particular, it will be seen later that the quadratic terms on the right hand side of Eq. (1) with coefficients  $\hat{C}^{(1)}$  and  $\hat{D}^{(1)}$  ensure that the set of oscillators is resonantly coupled. For a set of resonantly coupled, lightly damped, nonlinear oscillators as in Eq. (1), it is not immediately obvious as to which modes affect the stability of a particular mode. Therefore, the question of how many higher order modes need to be retained for a correct solution of the  $n^{th}$  mode instability problem is not easy to answer.

Previous numerical results [13, 32] suggest that when too few modes are retained in an analysis, the qualitative predictions of the nonlinear dynamic behavior at instability may be incorrect. At the same time, inclusion of higher order modes beyond a point does not seem to have a significant influence on the quantitative accuracy. Both these observations are not surprising, but ‘How few (modes) is too few?’ is a question that has not received a satisfactory answer to date.

## 2.1 Energy Transfer

From Eq. (1), it is clear that in the absence of the second-order gasdynamic terms on the right hand side, the individual modes behave as uncoupled linear oscillators. The coupling, and hence the energy transfer, between the modes is entirely due to the nonlinear gasdynamics. The influence of the nonlinear terms in the energy transfer process is presented in a concise form in Table 2 for the first eight modes. Each entry in the second column of Table 2 represents a pair of nonlinear gasdynamic terms that transfer energy to a particular mode from a lower numbered mode, i.e., energy

dynamics of the modes, in contrast to the other terms which act as external forcings that can merely contribute to the modal amplitude at a particular frequency. When the boldfaced terms are taken to the left hand side of the respective oscillator equation in Eq. (1), they can be seen to alter the frequency and damping of the modes, and thus, they can change the qualitative dynamical behavior. This can be clearly seen, for example, by writing the dynamical equation for the first mode with the parametric excitations moved to the left hand side as follows:

$$\ddot{\eta}_1 + [-2\hat{\alpha}_1 + \hat{C}_{11}^{(2)}\dot{\eta}_2]\dot{\eta}_1 + [(1 - 2\hat{\theta}_1) + \hat{D}_{11}^{(2)}\eta_2]\eta_1 = [\text{other RHS terms}] \quad (2)$$

The term involving  $\hat{C}_{11}^{(2)}$  represents a reverse transfer of energy from the second to the first mode that could potentially destabilize the first mode. The effect of the other boldface terms in Table 2 can be similarly interpreted as a change in the damping and frequency of the oscillator equation in which they appear.

## 2.2 Modal Truncation

We are now in a position to answer the question of how many modes need to be retained in an analysis to obtain qualitatively correct results for an  $n^{th}$  mode instability. Consider the case where the first mode goes linearly unstable and begins oscillating at a frequency  $\Omega$  (which may be slightly different from its shifted natural frequency  $\omega_1^s$  due to nonlinear effects). Energy is then transferred to the second mode, which is resonantly excited by the terms ‘11’ and set into oscillation at a frequency of  $2\Omega$ . A part of the energy from the second mode is reverse transferred to the first mode due to the boldfaced ‘12’ terms in Table 2. Thus, the dynamics of the first mode is nonlinearly coupled to that of the second mode, and it is necessary to consider the first and second mode oscillators coupled together. However, since the parametric excitation terms ‘12’ can significantly alter the dynamics of the first mode, it is important that they are correctly represented.

When the third and higher modes are neglected, the second mode cannot transfer energy up the mode numbers as per the terms in the second column of Table 2.

Table 2: Inter-modal energy transfers.

Mode number	Energy transfer up the modes	Reverse energy transfer
1		<b>12</b> , 23, 34, 45, 56, 67, 78
2	11	13, <b>24</b> , 35, 46, 57, 68
3	12, 21	14, 25, <b>36</b> , 47, 58
4	13, 22, <b>31</b>	15, 26, 37, <b>48</b>
5	14, 23, <b>32</b> , 41	16, 27, 38
6	15, 24, <b>33</b> , 42, 51	17, 28
7	16, 25, <b>34</b> , 43, 52, 61	18
8	17, 26, <b>35</b> , 44, 53, 62, 71	

transfer up the mode numbers from lower to higher modes. The third column, on the other hand, lists the terms that cause reverse energy transfer, i.e., from higher mode numbers to the lower ones. For instance, in the row for mode number 2, a term '13' implies that the second mode is excited by terms of the form  $\eta_1\eta_3$  and  $\dot{\eta}_1\dot{\eta}_3$ . The term '13' represents a reverse transfer of energy from the third mode to the second mode. It can be seen that terms with  $\hat{C}^{(1)}$  and  $\hat{D}^{(1)}$  as coefficients appear in the second column of Table 2, while the third column consists of terms with  $\hat{C}^{(2)}$  and  $\hat{D}^{(2)}$  as coefficients.

A look down the second column of Table 2 shows that every term in the energy transfer up the modes acts as a near-resonant excitation. For instance, consider the first mode to be oscillating at its (shifted) natural frequency  $\omega_1^s$ , and recall that the (shifted) natural frequencies of the higher modes are approximately integral multiples of that of the first mode. Then, the terms '11' will excite the second mode at exactly  $2\omega_1^s$ , which is approximately equal to its (shifted) natural frequency. Likewise, terms like '12' and '21' will excite the third mode at a frequency  $3\omega_1^s$ , which will nearly resonate with its (shifted) natural frequency, and so on. Hence, the modes in Eq. (1) represent a set of resonantly coupled oscillators. Among the reverse energy transfer terms in the third column of Table 2, the really significant terms are the ones in boldface. The boldface terms represent parametric excitations which can alter the

Instead, it is forced to reverse transfer part of this energy to the first mode, due to which the parametric excitations are larger than they ought to be, and the resulting dynamics may show large-amplitude limit cycles that are spurious. Such spurious limit cycles were observed, but could not be explained, in the two-mode continuation results of Jahnke and Culick [13], and, previously, in the two-mode analytical results reported by Awad and Culick [7], and by Paparizos and Culick [8]. Hence, the modal truncation should be such that all significant energy transfers up the modes are accommodated. This requires that all modes that directly receive energy from modes 1 and 2, individually or collectively, should be represented in the truncated set of equations. It is seen from Table 2 that direct energy transfer from modes 1 and 2 to the higher modes occurs through the terms ‘12’ and ‘21’ to the third mode, and through the term ‘22’ to the fourth mode. All other energy transfers to the higher modes are indirect in the sense that they require the participation of the first/second mode and another higher mode.

Thus, in a first mode instability, the third and fourth modes play an important role as energy sinks and must be included in the modal truncation, even though their direct influence on the dynamics of the first mode is not significant. In summary, the truncated set of equations for correct qualitative analysis of a first mode instability should contain at least four modes — the unstable mode (mode 1), the coupled modes (mode 2), and the energy sinks (modes 3 and 4). This argument can be easily extended to determine the minimum order of the modal truncation for analysis of an  $n^{th}$  mode instability. For example, in case of a second mode instability, it can be shown that the modal truncation must retain at least the first eight modes.

Continuation results for first and second mode instability have been reported in [13, 21, 32], where upto sixteen modes have been retained. Examination of these results confirms that computations for first mode instability with a modal truncation that did not retain at least four modes were qualitatively incorrect. Similarly, second mode instability computations that retained fewer than eight modes are seen to be qualitatively inconsistent. In the following sections of this paper, we shall examine

the first mode instability problem with a four-mode truncation. In particular, we shall be interested in conditions under which triggered limit cycles occur.

### 3 Triggered Limit Cycles

The set of coupled acoustic oscillators in Eq. (1) can be seen to have an equilibrium state where each  $\eta_n = 0$ , i.e., none of the acoustic modes are excited. Since the oscillators are all linearly decoupled, the linear damping coefficient for each mode,  $\hat{\alpha}_n$ , determines whether or not that particular mode is linearly stable. When each  $\hat{\alpha}_n$  is negative, then the equilibrium state of the set of acoustic oscillators is linearly stable. In that case, small perturbations from the equilibrium state damp out with time, and the system of oscillators tends to return to its equilibrium state. Combustion instability occurs when one of the modes gets undamped, i.e., the corresponding  $\hat{\alpha}_n$  changes sign from negative to positive. For positive  $\hat{\alpha}_n$ , the equilibrium state is linearly unstable, and small perturbations in the acoustic mode amplitudes initially grow with time. Nonlinear effects then become important and the modal amplitudes eventually settle down to a periodic oscillation called a limit cycle. Thus, given a model for the nonlinear dynamics of the acoustic waves, such as that in Eq. (1), one needs to predict the amplitude and frequency of the limit cycle oscillations at onset of combustion instability. This is easily done by using a continuation and bifurcation software such as AUTO97 [39].

Consider the first mode instability problem with a four-mode truncation of the set of oscillators in Eq. (1), where the only nonlinear terms are due to second-order gasdynamics. Equilibrium states and limit cycles for this case have been computed for varying values of first mode damping parameter  $\hat{\alpha}_1$  using the data in Table 1. Results are obtained for the amplitudes of the first four modes and, in case of limit cycles, also the time period of the oscillation. Of these, the first mode amplitude is plotted in Fig. 1 (plots for the other modal amplitudes are qualitatively similar) over a range of values of the parameter  $\hat{\alpha}_1$ . Figure 1 shows that the zero-amplitude equilibrium is



linearly stable for  $\hat{\alpha}_1 < 0$  and becomes unstable for  $\hat{\alpha}_1 > 0$ , with onset of instability occurring at  $\hat{\alpha}_1 = 0$ . Stable limit cycles emerge at the critical point  $\hat{\alpha}_1 = 0$ , which is called a supercritical Hopf bifurcation point. For any negative value of  $\hat{\alpha}_1$ , the only stable solution is the zero-amplitude equilibrium, and the acoustic waves tend to damp out, no matter how large the initial perturbation. Thus, the coupled oscillator model with second-order gasdynamics alone shows only linear instability.

Solid propellant rockets, as discussed earlier, have been known to show both linear and nonlinear or triggered instability. Examples of triggered instability are shown in the schematic bifurcation diagrams in Fig. 2, where  $x$  is a variable and  $p$  is a parameter. In each of the diagrams in Fig. 2, there is a range of values of the parameter  $p$  for which a stable equilibrium state co-exists with a stable limit cycle. For any parameter value in this range, small perturbations in  $x$  from the equilibrium state will tend to decay with time, but for larger perturbations, the system may show stable limit cycle oscillations. That is, though the equilibrium state is linearly stable, the system could be pulsed or triggered into limit cycle behavior. These stable limit cycles are called triggered limit cycles.

The triggered limit cycles in Fig. 2 are qualitatively different from the stable limit cycles in Fig. 1 in two respects: a) Triggered limit cycles in Fig. 2 exist to the left of the Hopf bifurcation point that signifies onset of (linear) combustion instability, whereas the stable limit cycles in Fig. 1 occur only for parameter values to the right of the Hopf bifurcation. b) Moving along the parameter axis from left to right, the triggered limit cycles in Fig. 2 begin abruptly with a finite non-zero amplitude at a fold bifurcation, as against the stable limit cycles in Fig. 1 whose amplitude starts from zero at the Hopf bifurcation point. Triggered limit cycles are, therefore, also called large-amplitude limit cycles in the literature [37, 38]. The onset of triggered limit cycles at a fold bifurcation can be considered to be a nonlinear combustion instability phenomenon. Thus, with increasing values of the parameter  $p$ , the systems in Fig. 2 first show a nonlinear combustion instability at a fold bifurcation, and then a linear combustion instability at a Hopf bifurcation.

Triggered limit cycles can be a disquieting phenomenon due to the sudden increase in the amplitudes of the acoustic modes. Moreover, the phenomenon is worrisome because triggered instability could occur even when linearly stable operating conditions have been ensured. Hence, there is a need to develop models that can faithfully capture the qualitative dynamics of triggered limit cycles in combustion chambers. Unfortunately, the coupled acoustic oscillator model in Eq. (1), from past experience and as seen in Fig. 1, does not seem to accommodate triggered limit cycles, but this has never been definitely established. We can now explain the lack of triggered limit cycles in the oscillator model of Eq. (1) by comparing the influence of the second-order gasdynamic nonlinearities with nonlinear terms that are known to cause triggering in coupled oscillator systems.

There are two known mechanisms for generation of triggered limit cycles in systems of resonantly coupled oscillators:

1. Nonlinear damping terms of the form  $|f(\eta_n)|\dot{\eta}_n$  or  $|f(\dot{\eta}_n)|\dot{\eta}_n$  have been shown to produce triggered limit cycles with either subcritical or supercritical Hopf bifurcations, as sketched in Fig. 2 [37].
2. Parametric excitation terms of the form  $c\dot{\eta}_{2n}\dot{\eta}_n$  or  $c\eta_{2n}\dot{\eta}_n$  are also known to be able to create a subcritical Hopf bifurcation and triggered limit cycles, as pictured in Fig. 2(a), depending on the sign of the coefficient  $c$ , i.e.,  $c < 0$  for triggering, and  $c > 0$  for non-triggering [38].

Examining the nonlinear terms in the equation for the first acoustic mode, which is reproduced below from Eq. (2),

$$\ddot{\eta}_1 + [-2\hat{\alpha}_1 + \hat{C}_{11}^{(2)}\dot{\eta}_2]\dot{\eta}_1 + [(1 - 2\hat{\theta}_1) + \hat{D}_{11}^{(2)}\eta_2]\eta_1 = [\text{other RHS terms}] \quad (3)$$

it can be observed that there are no nonlinear damping terms, but the second order gasdynamic term  $\hat{C}_{11}^{(2)}\dot{\eta}_2\dot{\eta}_1$  does indeed act as a parametric excitation of the desired form as listed in 2) above. (The other parametric excitation term  $\hat{D}_{11}^{(2)}\eta_2\eta_1$  is clearly

not of the desired form.) However, on using the expressions following Eq. (1), the coefficient  $\hat{C}_{11}^{(2)}$  can be evaluated to be

$$\hat{C}_{11}^{(2)} = (3 - 2\gamma)/2\gamma$$

which is usually positive, where  $\gamma$  is the ratio of specific heats for gas/particle mixture. The term  $\hat{C}_{11}^{(2)} \dot{\eta}_2 \dot{\eta}_1$ , thus, turns out to be a parametric excitation of the non-triggering type. It follows that second-order gasdynamic nonlinearities, as they exist, are incapable of inducing triggered limit cycles. As an academic exercise, one may choose an arbitrary non-physical value of  $\gamma$  that makes the coefficient  $\hat{C}_{11}^{(2)}$  negative; then, triggered limit cycles can indeed be observed. In summary, triggered limit cycles observed in solid propellant rockets cannot be explained by modeling the second-order gasdynamic nonlinearities alone.

The most promising source for the triggering mechanism then appears to be nonlinear combustion. The approximate formulation of Eq. (1) already accounts for contributions from linear combustion processes. Nonlinear combustion phenomena can be included in the coupled oscillator system of Eq. (1) by introducing additional terms  $F_n^{nc}$  representing pressure and velocity coupling effects. The modal equations for the set of coupled oscillators then appear as

$$\begin{aligned} \ddot{\eta}_n - 2\hat{\alpha}_n \dot{\eta}_n + n(n - 2\hat{\theta}_n)\eta_n = & - \sum_{i=1}^{n-1} \left( \hat{C}_{ni}^{(1)} \dot{\eta}_i \dot{\eta}_{n-i} + \hat{D}_{ni}^{(1)} \eta_i \eta_{n-i} \right) \\ & - \sum_{i=1}^{\infty} \left( \hat{C}_{ni}^{(2)} \dot{\eta}_i \dot{\eta}_{n+i} + \hat{D}_{ni}^{(2)} \eta_i \eta_{n+i} \right) + F_n^{nc} \end{aligned} \quad (4)$$

where  $\eta_n$  is again the amplitude of the  $n^{th}$  acoustic mode. Culick et al. [32] and Burnley [21] considered the nonlinear pressure coupling terms in the Levine-Baum model as a possible candidate for the creation of triggered limit cycles. They observed that the nonlinear pressure coupling terms did indeed cause triggering, but the required values of the coefficients of these terms turned out to be unrealistically large. Their observations can now be explained by noting that the nonlinear pressure coupling terms in the Levine-Baum model are in fact second-order parametric excitation terms with a negative coefficient (see Eq. (33) of Culick et al. [32]), and, hence,

of the type that can cause triggering. Then, for a first mode instability problem with second-order gasdynamics and nonlinear pressure coupling, the parametric excitation terms in the equation for the first acoustic mode appear as  $(\hat{C}_{11}^{(2)} + C_1^{pc})\dot{\eta}_2\dot{\eta}_1$ , where  $C_1^{pc}$  is the coefficient of the pressure coupling term. Now, the combined coefficient  $(\hat{C}_{11}^{(2)} + C_1^{pc})$  is required to be negative for triggering to occur, and although  $C_1^{pc}$  is known to be negative, it clearly needs to be large enough to overcome the positive value due to  $\hat{C}_{11}^{(2)}$ . Unfortunately, for reasonable values of  $C_1^{pc}$ , the combined coefficient is still positive, and, as a result, the nonlinear pressure coupling model does not lead to triggering. This leaves us to consider velocity coupling models as a possible candidate to explain the occurrence of triggered instability.

## 4 Velocity Coupling Models

Levine and Baum [31] suggested a velocity coupling function of the form  $F_n^{nc} = f(\dot{\eta}_n)\dot{\eta}_n$ , with  $f(\dot{\eta}_n) = C_n^{vc}|\dot{\eta}_n|$ , to model the nonlinear combustion response to an acoustic velocity parallel to the burning surface. A plot of the Levine-Baum function  $f(\dot{\eta}_n)$  against  $\dot{\eta}_n$  appears as a ‘V’ shaped function. The equation for the first acoustic mode, with second-order gasdynamics and the Levine-Baum velocity coupling model, is then of the form

$$\ddot{\eta}_1 + [-2\hat{\alpha}_1 + \hat{C}_{11}^{(2)}\dot{\eta}_2 + C_1^{vc}|\dot{\eta}_1|]\dot{\eta}_1 + [(1 - 2\hat{\theta}_1) + \hat{D}_{11}^{(2)}\eta_2]\eta_1 = [\text{other RHS terms}](5)$$

The velocity coupling function in Eq. (5) represents a nonlinear damping mechanism, and can, therefore, be expected to create triggered limit cycles at the onset of first mode combustion instability. This is confirmed by computing equilibrium points and limit cycles for a four-mode truncation of the coupled oscillator system Eq. (4) for the data in Table 1. The velocity coupling function in the equation for the first acoustic mode is taken as shown in Eq. (5) with  $C_1^{vc} = 0.2$ . Results for the first mode amplitude are plotted in Fig. 3 for varying values of the parameter  $\hat{\alpha}_1$ .

Triggered limit cycles are clearly seen in Fig. 3 at a subcritical Hopf bifurcation, similar to that sketched in the schematic bifurcation diagram of Fig. 2(a). Thus, the

Levine-Baum velocity coupling model along with the second-order gasdynamic nonlinearities is adequate to capture triggered limit cycles in solid propellant combustion systems. However, from a qualitative point of view, the dynamics represented by the bifurcation diagram in Fig. 3 is not entirely satisfactory. This is because the stable triggered limit cycles terminate at some positive value of  $\hat{\alpha}_1$  beyond which there are no stable equilibrium or limit cycle solutions. This implies that, where there are no stable solutions, the slightest perturbation will cause the modal amplitudes to eventually grow to infinity. Such dynamical behavior is clearly non-physical and must be eliminated.

Levine and Baum [31] also suggested that threshold effects that had been observed experimentally be incorporated in the velocity coupling function. Burnley and Culick [34] modified the Levine-Baum velocity coupling function by introducing a dead zone to obtain an ad hoc velocity coupling function with a threshold, as follows:

$$\begin{aligned} f(\dot{\eta}_1) &= 0, & |\dot{\eta}_1| < |\dot{\eta}_1^t| \\ f(\dot{\eta}_1) &= C_1^{vc} |\dot{\eta}_1 - \dot{\eta}_1^t|, & |\dot{\eta}_1| \geq |\dot{\eta}_1^t| \end{aligned} \quad (6)$$

where  $\dot{\eta}_1^t$  is the threshold value of  $\dot{\eta}_1$ . A plot of the Burnley-Culick function  $f(\dot{\eta}_n)$  against  $\dot{\eta}_n$  appears as a bucket-shaped function with a flat bottom and sides slanting outwards. Computation of equilibrium and limit cycle solutions is carried out as before, but with the Burnley-Culick velocity coupling function in Eq. (6) instead of the Levine-Baum model. The parameter  $C_1^{vc}$  in Eq. (6) is retained unchanged, i.e.,  $C_1^{vc} = 0.2$ , and a threshold value of  $\dot{\eta}_1^t = 0.02$  is chosen. A plot of the first mode amplitude for this case with varying values of the parameter  $\hat{\alpha}_1$  is shown in Fig. 4, where triggered limit cycles of the form sketched in Fig. 2(b) may be observed at a supercritical Hopf bifurcation.

However, it is known that functions  $f(\dot{\eta}_1)$  that are approximately quadratic in shape, e.g., the Levine-Baum function, show triggered limit cycles of the subcritical type, while those that are approximately quartic (fourth-order), like the Burnley-Culick function, show triggered limit cycles of the supercritical type [37]. It is not

difficult to come up with velocity coupling models with no threshold but with an approximately quartic function  $f(\dot{\eta}_1)$  (e.g., a ‘W’ shaped function) that also produce triggered limit cycles of the supercritical type as in Fig. 4. Thus, the change from subcritical triggering in Fig. 3 to supercritical triggering in Fig. 4 cannot be attributed to the threshold effect in the Burnley-Culick velocity coupling function. Besides, the non-physical dynamical behavior seen over a range of positive values of  $\hat{\alpha}_1$  in Fig. 3 persists in Fig. 4 as well.

To resolve this issue, we go back to the bifurcation diagram of Fig. 3 and the Levine-Baum velocity coupling model. It is clear that the qualitative dynamics of triggered limit cycles at onset of first mode combustion instability at the subcritical Hopf bifurcation is adequately captured in Fig. 3. This means that the form of the Levine-Baum velocity coupling function is appropriate for small  $\dot{\eta}_1$ , i.e., near the region of onset of instability. The non-physical dynamics in Fig. 3 occurs for larger values of  $\eta_1$  and  $\dot{\eta}_1$ , which implies that the form of the Levine-Baum velocity coupling model requires correction for large  $\dot{\eta}_1$ , without affecting its shape in the neighborhood of  $\dot{\eta}_1 = 0$ . The lowest order correction term to the Levine-Baum function which meets these requirements is a quadratic term with value zero at  $\dot{\eta}_1 = 0$ , slope zero at  $\dot{\eta}_1 = 0$ , and a magnitude that subtracts from the value of the function for large  $\dot{\eta}_1$ . The new velocity coupling function, with such a quadratic term included, can be expressed as

$$f(\dot{\eta}_1) = C_1^{vc}|\dot{\eta}_1| - D_1^{vc}|\dot{\eta}_1|^2 \quad (7)$$

For a particular choice of the coefficients,  $C_1^{vc} = 0.2$  and  $D_1^{vc} = 0.8$ , the shape of the new velocity coupling function appears as plotted in Fig. 5. As shown in the inset in Fig. 5, the new velocity coupling function captures different effects at different scales. At small scales near zero, the function appears similar to the ‘V’ shaped Levine-Baum function thus capturing the ‘rectified’ combustion response to an acoustic velocity parallel to the burning surface. However, at large scales, the function can be globally approximated as an inverse bucket-shaped function, similar to the Burnley-Culick function, but upside down. In the global approximation, the new

velocity coupling function shows a near-dead zone, thereby capturing the expected nonlinear combustion response with a natural threshold character. Thus, the new velocity coupling function in Fig. 5 combines at different scales the rectification effect of the Levine-Baum function with the threshold nature of the Burnley-Culick function.

Computations are now carried out for equilibrium solutions and limit cycle amplitudes under identical conditions as was done for Fig. 3, but with the new velocity coupling function in Eq. (7) instead of the Levine-Baum model. Results for the first mode amplitude with varying parameter  $\hat{\alpha}_1$  are shown in Fig. 6. As expected, the subcritical Hopf bifurcation in Fig. 6 is identical to that in Fig. 3, and the qualitative dynamics of the triggered limit cycles in the vicinity of the Hopf bifurcation point, i.e., for small  $\eta_1$  and  $\dot{\eta}_1$ , remains unchanged. However, the stable limit cycles persist for all positive values of the parameter  $\hat{\alpha}_1$ , and the non-physical dynamical behavior in Fig. 3 is, therefore, eliminated in Fig. 6.

Thus, the new velocity coupling function in Fig. 5 provides a satisfactory picture of the qualitative dynamics of the triggered limit cycles created at onset of combustion instability. In addition, the new velocity coupling function that is derived from dynamical considerations naturally satisfies the physical requirement of having a threshold character.

## 5 Conclusions

Several questions regarding the modeling and dynamics of longitudinal acoustic waves in combustion chambers have been addressed in this paper using the approximate analysis originally developed by Culick. First among these is the question of modal truncation, i.e., how many modes need to be retained in a truncated model of the coupled oscillator equations in order to predict the qualitative dynamics of the acoustic waves correctly. Previous studies of first and second mode instabilities arbitrarily chose to retain between two and sixteen modes. We have now shown that a first mode instability requires a minimum of four modes in the modal truncation, while for a

second mode instability, one needs to retain at least the first eight modes.

Secondly, it has been widely believed from previous studies that the approximate analysis with only second-order gasdynamic nonlinearities could not show triggered limit cycles. This has now been theoretically established by recognizing that second-order gasdynamics does not contribute either nonlinear damping or parametric excitation terms in the form required to cause triggered limit cycles.

Finally, nonlinear combustion mechanisms for triggering based on pressure and velocity coupling models have been studied. Results from a previous study which suggested that pressure coupling does not lead to triggering have now been explained. Velocity coupling models have been shown to induce triggered instability due to a nonlinear damping mechanism. Velocity coupling models used in the past have been examined, and a new velocity coupling function has been proposed that captures the qualitative dynamics at onset of triggered instability. Interestingly, our velocity coupling function naturally shows a threshold nature unlike previous velocity coupling models that had an artificially imposed threshold character. The physical basis and reality of this behavior are currently unknown.

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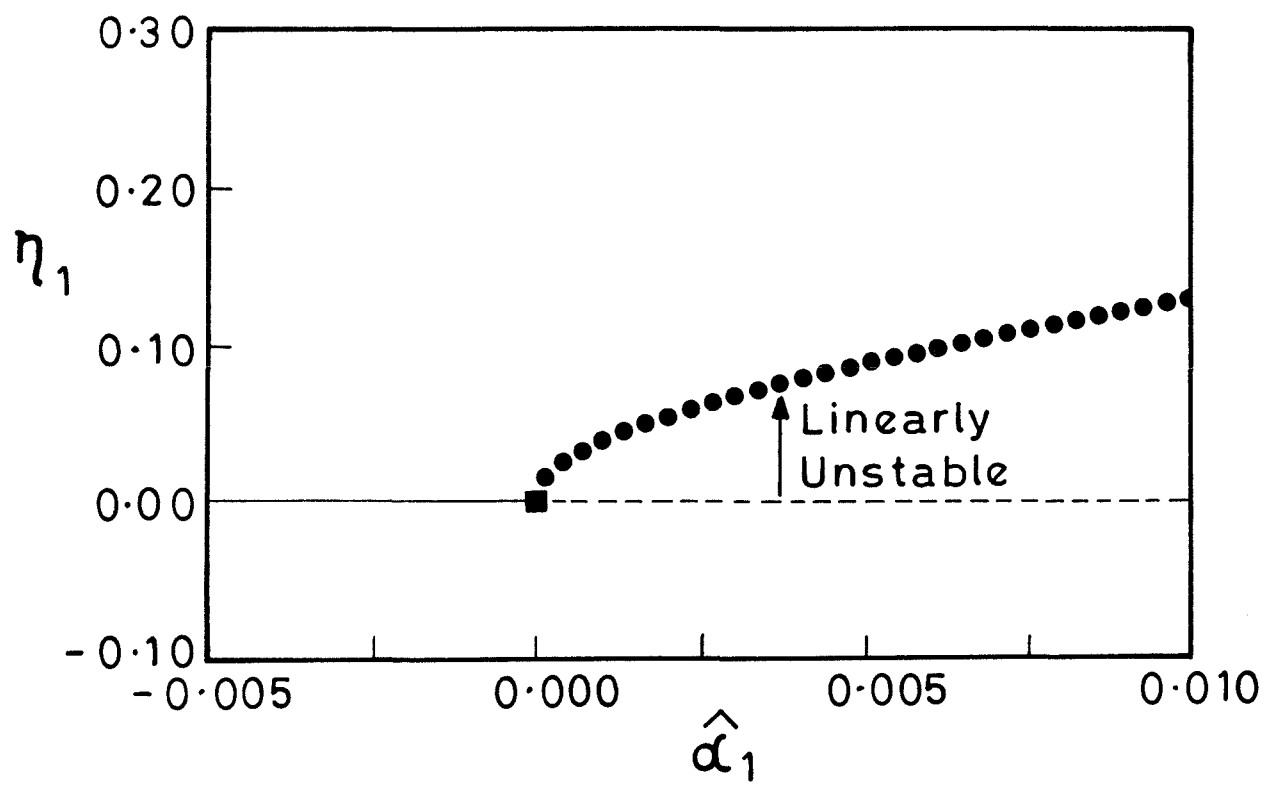


Fig 1 Ananthkrishnan, Des & Celick

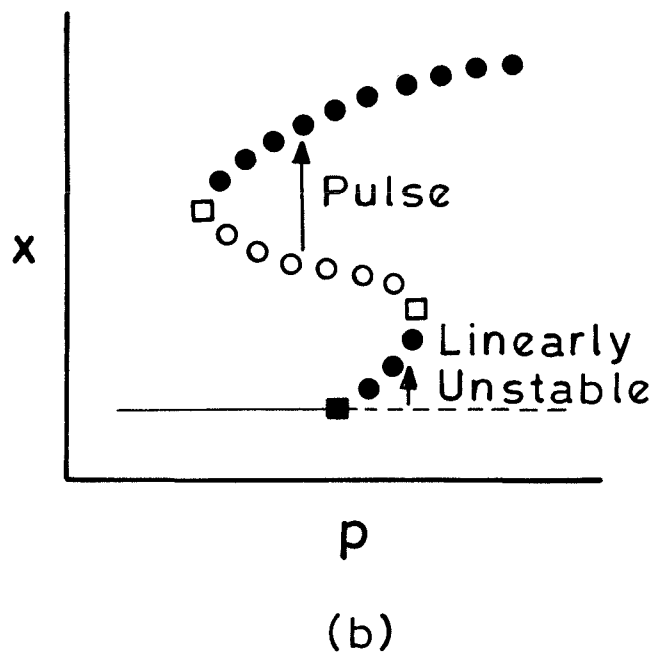
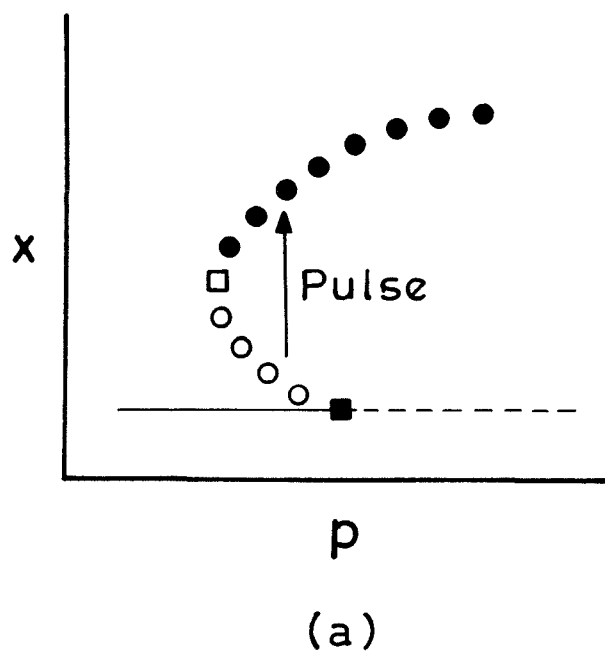


Fig 2 Ananthkrishnan, Deo & Gulick



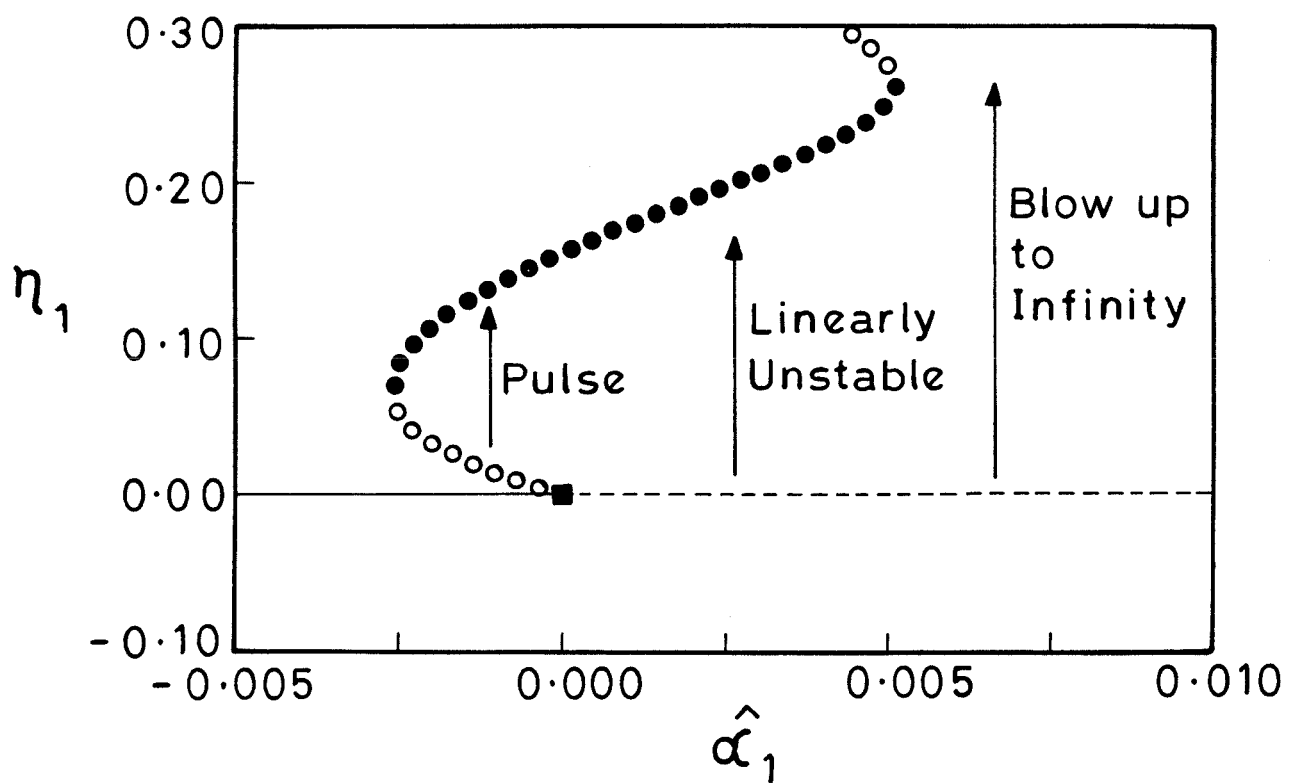


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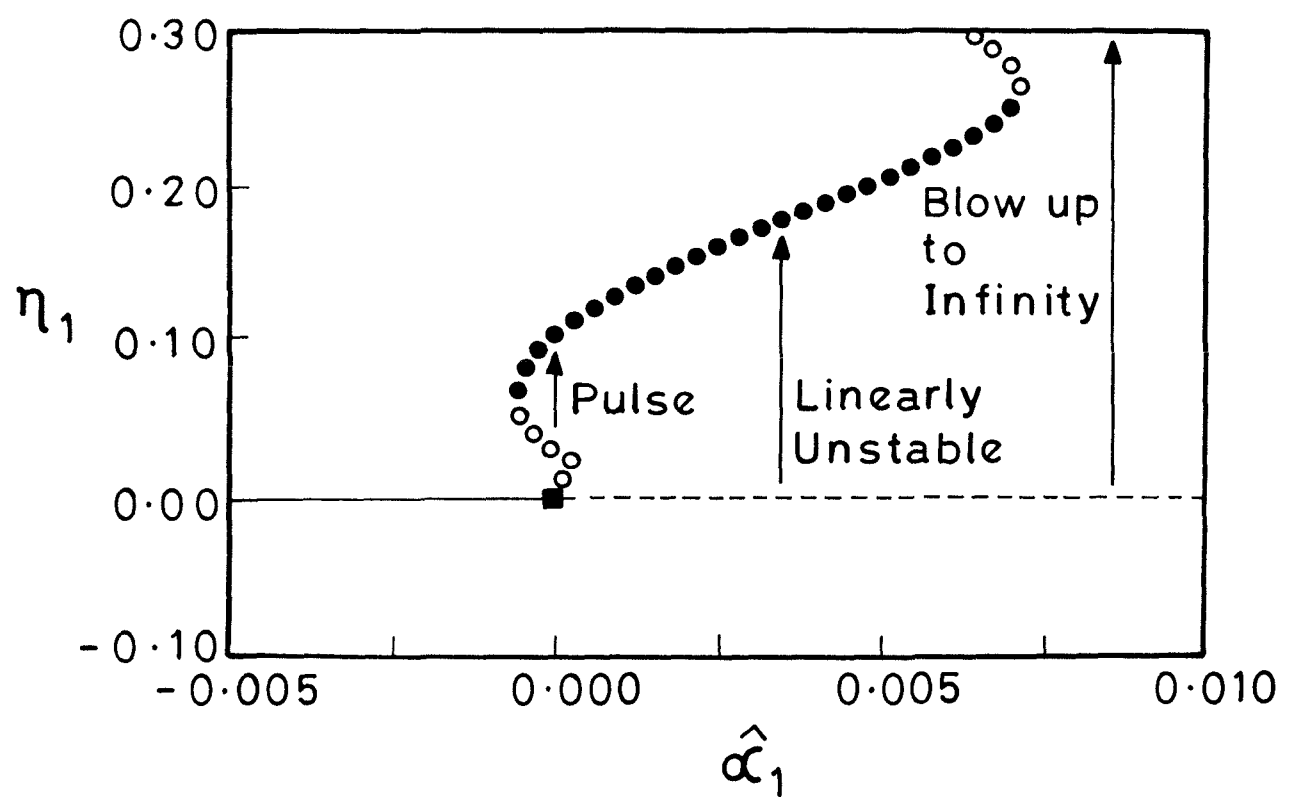


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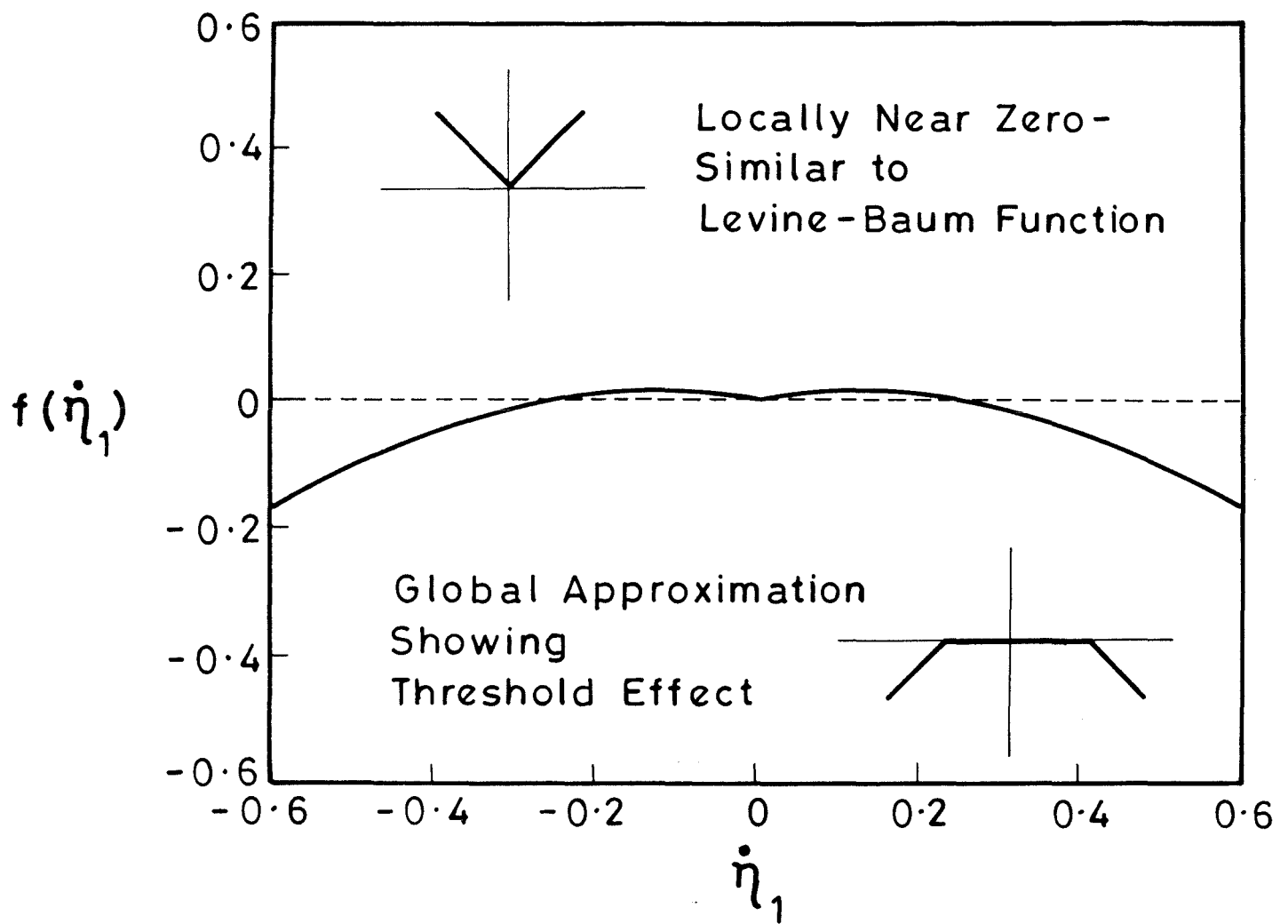


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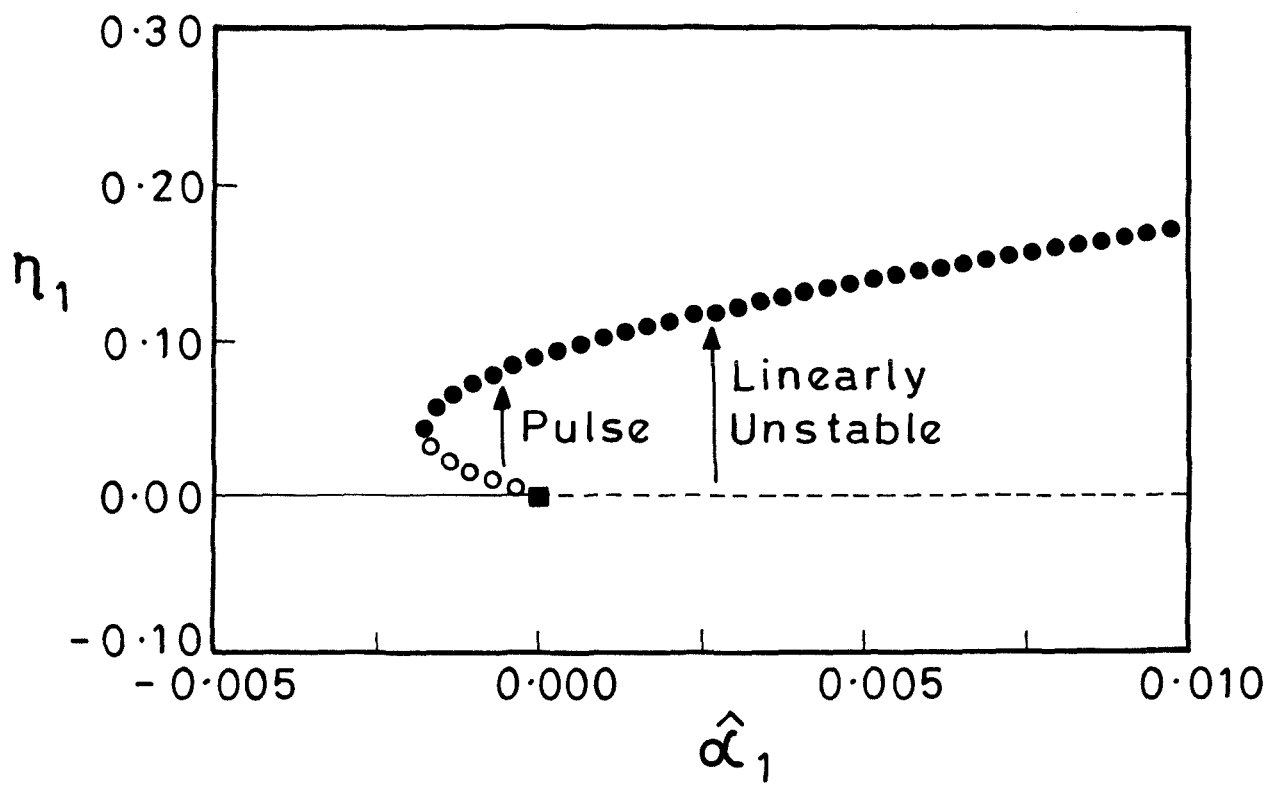


Fig. 6 Ananthkrishnan, Das & Gubek